

# NEW JERSEY DEPARTMENT OF TRANSPORTATION

## SMALL BUSINESS PROGRAMS

<p><u>DBE – FEDERAL (R/C) –</u>  <u>FY07 –15.1% (14.5% R/N – 0.6% R/C)</u>  <u>DBE = Disadvantaged Business Enterprise</u></p> <ul style="list-style-type: none"> <li>▪FEDERALLY MANDATED PROGRAM</li> <li>▪NJDOT SETS ANNUAL GOAL FOR U.S.D.O.T.-FUNDED CONTRACTS PRIOR TO START OF EACH NEW FISCAL YEAR</li> <li>▪MAXIMUM PORTION OF DBE GOAL MUST BE OBTAINED USING RACE NEUTRAL (R/N) MEANS (NJDOT USES ESBE PROGRAM)</li> <li>▪DBE RACE CONSCIOUS (R/C) GOALS ARE USED ON CONTRACTS IF GOAL IS NOT BEING MET THROUGH R/N ESBE PROGRAM</li> <li>▪ ALL CERTIFIED DBEs ARE ESBEs (MET ECONOMIC CRITERIA DURING DBE REVIEW)</li> <li>▪DBE R/C - R/N GOAL ATTAINMENT MONITORED MONTHLY</li> <li>▪THE PERSONAL NET WORTH (PNW) OF SOCIALLY AND ECONOMICALLY DISADVANTAGED BUSINESS OWNERS CANNOT EXCEED \$750,000</li> <li>▪ FIRM MUST BE A SMALL BUSINESS AS DEFINED BY U.S. SMALL BUSINESS ADMINISTRATION (SBA) REGULATIONS &amp; NAICS</li> </ul>	<p><u>ESBE - FEDERAL (R/N)</u>  <u>ESBE = Emerging Small Business Enterprise</u></p> <ul style="list-style-type: none"> <li>▪NOT A MANDATED PROGRAM</li> <li>▪DEVELOPED BY NJDOT AS A METHOD TO FACILITATE DBE PARTICIPATION THROUGH RACE NEUTRAL (R/N) MEANS</li> <li>▪GOALS ARE CONTRACT SPECIFIC</li> <li>▪ELIGIBILITY BASED ON ECONOMIC CRITERIA ONLY</li> <li>▪THE PERSONAL NET WORTH (PNW) OF ECONOMICALLY DISADVANTAGED BUSINESS OWNERS CANNOT EXCEED \$750,000</li> <li>▪FIRM MUST BE A SMALL BUSINESS AS DEFINED BY SBA REGULATIONS &amp; NAICS</li> </ul> <p><u>NAICS = North American Industrial Classification System – Used by SBA (Established size standards for types of economic activity or industry)</u></p>	<p><u>SBE - STATE (R/N) 25%</u>  <u>SBE = Small Business Enterprise</u></p> <ul style="list-style-type: none"> <li>▪STATE MANDATED PROGRAM</li> <li>▪REGULATED BY NJ STATE COMMERCE COMMISSION</li> <li>▪ FIRMS MUST BE INCORPORATED OR REGISTERED TO DO BUSINESS IN NEW JERSEY</li> <li>▪100 OR FEWER FULL-TIME EMPLOYEES</li> <li>▪SEPARATE REGISTRATIONS FOR 1)GOODS &amp; SERVICES, 2)CONSTRUCTION &amp; CONSTRUCTION-RELATED ACTIVITIES</li> <li>▪5 CATEGORIES OF BUSINESS REGISTRATION: <ul style="list-style-type: none"> <li>1 Revenues under \$500,000</li> <li>2 Not over \$5M</li> <li>3 Not over \$12M</li> <li>4 Not over \$1M</li> <li>5 According to SBA industry size standards (NAICS)</li> </ul> </li> </ul>
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